

## Research Article

# Combined Distributed Turbo Coding and Space Frequency Block Coding Techniques

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The distributed space-time (frequency) coding and distributed channel turbo coding used independently represent two cooperative techniques that can provide increased throughput and spectral efficiency at an imposed maximum Bit Error Rate (BER) and delay required from the new generation of cellular networks. This paper proposes two cooperative algorithms that employ jointly the two types of techniques, analyzes their BER and spectral efficiency performances versus the qualities of the channels involved, and presents some conclusions regarding the adaptive employment of these algorithms.

## 1. Introduction

Most of the services that should be provided by future wireless networks require low or very low BER and delay values and high data rates. The cooperative transmission techniques represent one of the most promising solutions in wireless networks for provisioning the increased capacity, extended coverage, and improved fairness [1–3] required by such services. They could ensure low BER, while still inserting limited transmission delays, and also significantly improve the spectral efficiency of wireless systems.

Another technique that could fulfill the above requirements is the Multiple Input Multiple Output-Orthogonal Frequency Division Multiplexing (MIMO-OFDM) [4, 5], which has already been adopted in high rate wireless communications standards, such as WiMAX and LTE. However, considering a conventional cellular architecture with colocated antennas, there is significant correlation between channels in some environments; moreover, the use of an antenna array at the user terminals (UTs) may not be feasible due to size, cost, and hardware limitations. The OFDM-MIMO could be also implemented through cooperation of users, which share their antennas and thereby create a Virtual Antenna Array (VAA) or a Virtual MIMO (VMIMO) system. In this context, the concept of Distributed Space-Time Coding (DSTC) was introduced in [6, 7]. This approach allows single-antenna

devices to gain some benefits from spatial diversity without the need for physical antenna arrays. Several recent works [8, 9] have considered either the design of DSTC or Distributed Space-Frequency Coding (DSFC) or the application of the existing space-time/frequency codes in a distributed manner for the wireless relay-based systems. The use of Space-Time Block Coding (STBC) based on Alamouti schemes [10] implemented in a distributed manner in OFDM-based cooperative schemes has been discussed in [11, 12], while a full-rate and full-diversity quasiorthogonal STBC scheme to be applied in virtual antenna arrays was proposed in [13]. In [14], Distributed Space-Frequency Block Coding (DSFBC) schemes for OFDM-based cellular systems which use an antenna array at the BS and a single antenna at both the UT and RN have been proposed.

Another cooperative approach that aims at exploiting diversity is the Distributed Forward Error Correction Coding (DFEC). Such a cooperation scheme, proposed in [15], was intended initially to create transmit diversity in the uplink of a wireless system. Using standard FEC, partitioning of code words and transmitting these parts by the cooperating partners, together with error detection at each partner, could overcome the drawbacks of a simple cooperation based on repetition coding. The performances of FEC-based cooperation schemes were investigated under various channel conditions and power allocation modes

in [16, 17], while some algorithms are provided in [18] and throughput maximization methods are provided in [19]. Niu and Lu [20] have combined the OFDM flexible subcarrier allocation with distributed coding, extending the cooperative communication strategy from the time domain to the time-frequency domain, by incorporating the Orthogonal Frequency-Division Multiple Access (OFDMA) concept. Practical distributed coding protocols that use Rate-Compatible Punctured Convolutional Codes (RC-PCC) were proposed and investigated in [21]. This approach ensures high performances both for Amplify & Forward (AF) and Decode & Forward (DF) relaying schemes, while maintaining a low complexity.

One step further is the joint use of cooperative techniques, such as DST(F)BC or DFEC, with Network Coding (NC), which combines the advantages provided by each of the involved techniques and diminishes their shortcomings. Recent papers, such as [22–25], propose various versions of combining these techniques which provide results that are more than promising. Nevertheless, the employment of NC-based techniques involves more elaborate cluster-selection techniques which seem to be difficult to implement in the present mobile cellular systems.

Therefore, this paper proposes two cooperation algorithms, serving one UT, that employ in a joint manner the distributed space frequency coding and distributed FEC, analyzes their performances and compares these performances with those of DSFC and DFEC cooperation algorithms used independently. The paper is structured as follows: Section 2 explains briefly the motivation of this study, while Section 3 presents the cooperation protocol and topologies used and briefly arguments their selection. Section 4 describes the DSFC and DFEC cooperative algorithms and the proposed combined DSFC+DFEC cooperation algorithms, while Section 5 briefly discusses the evaluation of their spectral efficiency. Section 6 describes the performance metrics employed and the test scenarios used for performance evaluation; it presents the simulation results obtained and analyzes these results. Finally, Section 7 presents some conclusions regarding the selection of the cooperative algorithm according to the given topology, scenario, and type of service that has to be provided.

## 2. Motivation and Objectives

The performances of the cooperative algorithms, BER, Bloc Error Rate (BLER), and spectral efficiency, are strongly affected by the combining method of the signals received on the source—destination and on the relay (relays)—destination links. Two main categories of message-combining methods could be used:

- (i) symbol-level combining, which is specific to space-time/space-frequency coding and to maximum ratio combining. These message combining techniques provide diversity gain,
- (ii) bit-level combining, that is, bit-LLRs addition and/or concatenation, which is characteristic to distributed

FEC. These message combining techniques provide less diversity gain, but they provide coding gain.

These combining methods exhibit different degrees of flexibility, when employed in different cooperative transmission scenarios. Symbol-level combining requires the same constellation on each transmission link, regardless of the significantly different qualities of the channels employed by each cooperation phase. Bit-level combining has a greater flexibility, allowing the use of different constellations on different transmission links, according to each channel state, fact that has significant impact upon the spectral efficiency.

The joint use of the two types of message combining could ensure both improved BER and flexibility, thus providing greater spectral efficiency. One way to achieve this mix of message combining in cooperative networks would be to employ in a joint manner distributed FEC and distributed diversity techniques, thus providing both coding and diversity gains.

The main objective of the paper is to analyze the performances of some particular cooperation algorithms combining DSFC and DFEC within a topology containing a virtual MIMO scheme that uses the antennae of one or two relay nodes (RNs), to compare their BER and spectral efficiency performances to those of cooperation schemes using independently either the DSFC or DFEC techniques, and to identify scenarios within which these combined distributed coding schemes provide greater spectral efficiencies at an imposed BER. The applicability of these algorithms to several types of services, according to the BER and spectral efficiency requirements, will also be briefly considered.

## 3. Cooperation Protocol and Topologies

This paper considers a test topology, with single-antenna equipments, where two dedicated relay nodes ( $RN_1$ ,  $RN_2$ ), fixed or nomadic, assist the communication between the Base Station (BS) and the UT. The access scheme is OFDMA, the constellations used are QPSK, 16, and 64 QAM and all point-to-point transmissions are Single Input Single Output (SISO) ones.

The cooperative algorithms studied employ the classical two-phase cooperation protocol, which are supposed to occur successively in time, but might not use the same signal constellation.

- (1) In the “broadcast phase”, the source node (BS or UT) sends its message to the RN and to the destination node (UT or BS, resp.). The content of the broadcast message is specific to the cooperation algorithm employed.
- (2) In the “relaying phase”, the RNs decode the received message, perform the processing specific to the cooperation algorithm employed and send their messages to destination (UT or BS).

The destination jointly decodes the information received from the RNs or both from the source and RNs, according to the cooperation algorithm.

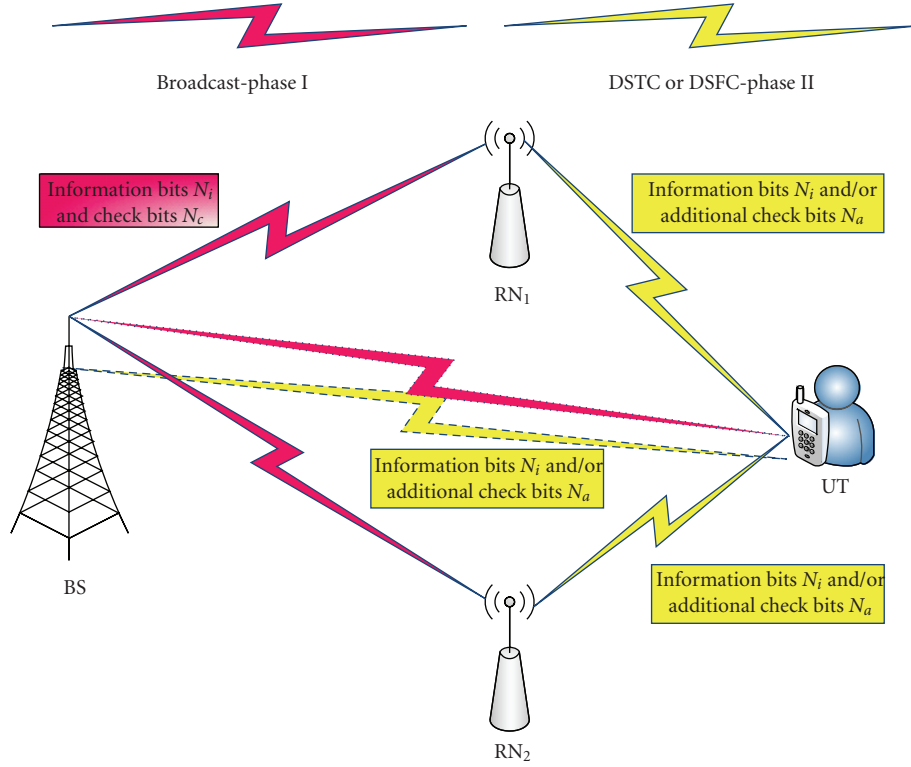


FIGURE 1: Topologies for DSFC-based cooperation algorithms: with two RNs (solid line); with one RN (dashed line).

The two phases of the cooperation protocol applied to this topology are illustrated in Figure 1 for the downlink (DL) connection; that is, the broadcast message is transmitted to the RNs and UT, while the relay message, which depends on the DFEC algorithm employed, is transmitted jointly by the two RNs using a cooperative diversity scheme, like DSTC or DSFC. The message sent during the broadcast phase might be employed or not by the destination's receiver.

Since the number of RNs is limited by relay assignment, resource allocation and signaling issues, the test topology can be simplified by imposing the source to act as one of the RNs and transmit both during the broadcast phase, as source, and during the relaying phase, as one of the RNs, as shown in Figure 1.

The asymmetrical two-RN topology, with different  $E_b/N_0$  ratios received from the two RNs, could be transformed into a symmetrical topology for easier analysis and simulation, by considering an equivalent  $E_b/N_0$  value that is received from each RN on the RN-destination links, as shown in Figure 2.

#### 4. Cooperative Distributed Coding Algorithms

This section gives a short overview of the cooperation algorithms employing Alamouti-based DSFC and Turbo Coding (TC)-based DFEC techniques and describes the proposed combined DSFC-DFEC cooperation algorithms.

**4.1. Distributed Space-Frequency Coding (DSFC).** The topology with two RNs contains five links; namely, the direct

BS-UT link, defined by the  $h_{bu}$  channel, the BS-RN $_i$  links ( $i = 1, 2$ ), defined by the  $h_{br_i}$  ( $i = 1, 2$ ) channels, and the RN $_i$ -UT links, defined by channels  $h_{ri_u}$  ( $i = 1, 2$ ), as shown in Figure 1. Within the topology with one RN, the BS-RN $_2$  link is an ideal one, while the RN $_2$ -UT link is represented by another realization of the  $h_{bu}$  channel.

The operations performed by this DSFC algorithm during cooperation are briefly described below.

- (1) In the broadcast phase, the source node transmits the coded block ( $N_i$  information bits and  $N_c$  check bits) towards the RNs and destination, using a modulation adapted to the channels involved.
- (2) In the relaying phase, the RNs decode and re-encode the message with the same FEC code, apply a DSFC-Alamouti scheme [10] and transmit their perfectly synchronized messages on the RN $_i$ -destination channels using the same signal constellation (not necessarily the same as in the first phase).
- (3) At the destination end, the DSFC-decoded symbols and the ones received from the source during the broadcast phase are MRC-combined to provide the symbols that are fed to the FEC decoder. Because this algorithm uses at destination signals received both from RNs and the source, it will be denoted as the composed DSFC, c-DSFC. Since the messages received during the cooperation phases are combined at QAM-symbol level, all links should use the same modulation.

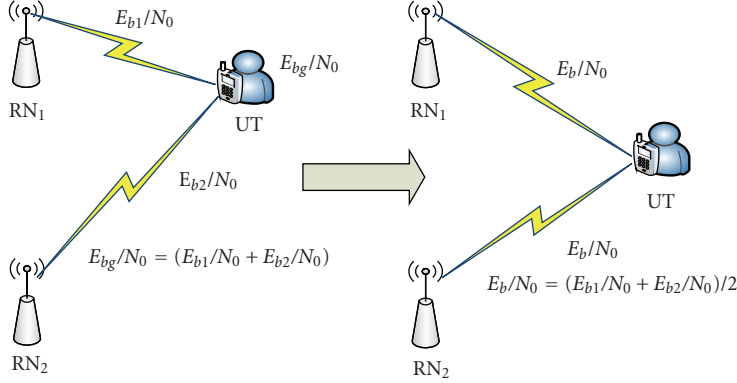


FIGURE 2: Nonsymmetrical (left) and symmetrical (right) DSFC topologies with two RNs.

To point out the effects of the direct link transmission upon the performance of the DSFC scheme, an alternative version, called simple DSFC (s-DSFC), which does not use the direct link at the receiver's end, is also considered. Hence, different signal constellations might be used during the two cooperation phases.

In the subsequent sections, we consider that all channels involved are complex flat Rayleigh-faded channels, and the noise samples have zero-mean and variance equaling  $\sigma_u^2$ . We also consider that the OFDM subcarrier separation is significantly lower than the channel's coherence bandwidth, and so, the fading over two adjacent subcarriers can be considered flat.

Considering the c-DSFC algorithm, the instantaneous SNR on subcarrier  $p$  obtained after the MRC-combining performed at destination between the symbols received on the direct link and those provided by the Alamouti decoding of the DSFC signals on the  $RN_i$ -destination links is [14, 26]

$$\text{SNR}^p = \frac{(1/2) \left( |h_{r_1u}^p|^2 + |h_{r_2u}^p|^2 \right) + |h_{bu}^p(b)|^2}{\sigma_u^2}, \quad (1)$$

where  $h_{bu}^p(b)$  represents the complex coefficient of the flat Rayleigh faded BS-UT channel, during the broadcast phase, for the  $p$ th subcarrier with an average power  $E\{|h_{bu}^p(b)|^2\} = \delta_{ub}^2(b)$ ,  $h_{r_iu}^p$  denotes the  $RN_i$ -UT channel during relaying phase, for the  $p$ th subcarrier with an average power of  $E\{|h_{r_iu}^p|^2\} = \delta_{r_iu}^2$ , in the assumption that the fading over two adjacent subcarriers can be considered flat; that is,  $h_{r_iu}^p$  is equal to  $h_{r_iu}^{p+1}$ . We also assume that the noise variance of the signals received at the UT during the two phases to be equal, that is,  $\sigma_u^2(b) = \sigma_u^2(r) = \sigma_u^2$ .

If the topology with one RN is considered, see Figure 1, then the BS acts as the second RN (in the DL), and therefore, in relation (1),  $h_{r_iu}^p$  should be replaced by  $h_{bu}^p(r)$ , the complex flat Rayleigh BS-UT channel's realization for the  $p$ th subcarrier during the relaying phase.

If the direct link is not used in the decoding process at the receiving end (the s-DSFC algorithm) the instantaneous SNR on subcarrier  $p$  is expressed in a similar manner by (2)

[14, 26] and is smaller than the one ensured by the c-DSFC algorithm, see (1)

$$\text{SNR}^p = \frac{(1/2) \left( |h_{r_1u}^p|^2 + |h_{r_2u}^p|^2 \right)}{\sigma_u^2}. \quad (2)$$

If the channels are correctly equalized, we may assume that the LLRs of all bits of the FEC codeword are extracted from the same equivalent channel, with SNRs expressed by (1) for the algorithm which employ the source-destination link, respectively, by (2) for topologies which do not employ this link.

**4.2. Distributed FEC Cooperation Algorithms.** Distributed FEC algorithms use the classical two-phase cooperation protocol [16, 17], applied within a topology with one RN, for example,  $RN_1$ , which is particularized in Figure 3. Most of distributed FEC algorithms use the same encoder both at source and at RN, some of them employing different puncturing patterns for the two transmissions. Usually, Convolutional Turbo Codes (CTCs) or Low Density Parity Check (LDPC) codes are employed to implement the distributed FEC.

**4.2.1. Distributed FEC with Incremental Redundancy.** The Incremental Redundancy DFEC (IR-DFEC) algorithm that uses CTCs is briefly described below and represented in Figure 3.

(i) *Broadcast Phase.* The UT (or the BS) encodes the  $N_i$  information bits using a CTC code with a coding rate  $R_m$  (the mother code rate). The resulted check bits are appropriately punctured according to a rate matching algorithm [27], to obtain a desired coding rate  $R_c$ . The resulted coded blocks of length  $N_i + N_c = N_i/R_c$  are then sent by the source (UT or BS) over the source-destination and source-RN links.

(ii) *Relaying Phase.* The RN decodes the received block, using a turbo decoder, and it re-encodes these bits using the same CTC encoder. Then, it selects a number of additional check bits  $N_a = N_i(1/R_a - 1)$ , by using a different puncturing



pattern corresponding to a coding rate  $R_a$ , and these bits are transmitted over the RN-destination link. The two coding rates  $R_a$  and  $R_c$  are chosen so that the global coding rate,  $R_g$ , which is expressed by (3), would equal the rate of the mother code

$$R_g = \frac{N_i}{N_i + N_c + N_a} = \frac{R_a \cdot R_c}{R_a + R_c - R_a \cdot R_c} = R_m. \quad (3)$$

(iii) *Decoding.* At destination, before turbodecoding, the blocks received both from source and RN are assembled and completed according to the employed puncturing rules. The  $N_i$  information and the  $N_c$  check bits generated by the source are received at smaller equivalent SNR values,  $\text{SNR}_d^p$ , than the  $N_a$  check bits generated by the relay,  $\text{SNR}_r^p$ , as shown by (4), fact that represents the main disadvantage of IR-DFEC

$$\text{SNR}_d^p = \frac{|h_{bu}^p(b)|^2}{\sigma_u^2} < \frac{|h_{ru}^p(b)|^2}{\sigma_u^2} = \text{SNR}_r^p. \quad (4)$$

The advantage of the IR-DFEC scheme consists of the relatively small amount of time-frequency resources required by the relaying phase, which increase the spectral efficiency, and the possibility to build distributed Hybrid Automatic Repeat Request (H-ARQ) schemes based on this cooperation scheme.

**4.2.2. Hybrid Distributed FEC with Incremental Redundancy.** The effects of small SNRs on the direct link might be overcome by a Hybrid IR-DFEC (HIR-DFEC) algorithm based both on repetition and incremental redundancy encoding. The HIR-DFEC algorithm is similar to the IR-DFEC one, see Figure 3, but in the relaying phase the RN sends, besides the  $N_a$  check bits, the decoded information bits  $N_i$ . The coding rate obtained in the relaying phase is  $R_a = N_i/(N_i + N_a)$ .

The decoding performed at the destination node is schematically represented in Figure 4. The destination node combines the LLRs corresponding to the information bits received over the direct and relay links, then it reorders the check bits received on the two links and concatenates them in order to restore the LLR-flow of the complete  $R_g$ -rate codeword, which is fed into the turbo decoder.

The global coding rate  $R_g$  is the same as the one of the IR-DFEC algorithm, (3), but this algorithm involves the transmission of two sets of  $N_i$  information bits, and therefore, in the computation of the payload bit rate and spectral efficiency, a transmission rate,  $R_t$ , given by (5) should be considered

$$R_t = \frac{N_i}{2 \cdot N_i + N_c + N_a} = \frac{R_c \cdot R_a}{R_c + R_a}. \quad (5)$$

The spectral efficiency of this algorithm is smaller, but the  $N_i$  information bits are better protected, since they are transmitted both on the direct and on the relay links, and therefore, they are received under an equivalent SNR,  $\text{SNR}^p$ ,

expressed by (6), which is greater than the one ensured by the IR-DFEC for these bits. As for the  $N_c$  and  $N_a$  check bits, they are received on similar conditions as in the IR-DFEC algorithm

$$\text{SNR}^p = \frac{|h_{ru}^p(b)|^2 + |h_{bu}^p(b)|^2}{\sigma_u^2} > \frac{|h_{bu}^p(b)|^2}{\sigma_u^2} = \text{SNR}_d^p. \quad (6)$$

**4.2.3. Combined DSFC+DFEC Cooperation Algorithms.** Distributed turbo coding algorithms (IR-DFEC or HIR-DFEC) are able to provide increased spectral efficiency, system flexibility but also significant coding gains if the source-RN and RN-destination links have good qualities. These conditions cannot be ensured only by positioning the relay, while the employment of powerful channel codes decreases the spectral efficiency and increases the complexity.

The quality of the transmission between the RN and destination can be significantly improved by using one or two RNs to implement a DSFC (or DSTC) algorithm, due to the diversity provided on the uncorrelated RN<sub>i</sub>-destination links. In the same time, a small BER could be ensured in the broadcast phase by placing the RNs closer to the source. Therefore, the combined use of DFEC and DSFC algorithms could provide improved performances and allow the use of simplified relay selection algorithms.

The combined s-DSFC+DFEC algorithms proposed are schematically represented in Figure 1 for the DL connection in the particular case when only 2 relays are used to implement the s-DSFC in the relaying phase. A brief description of the operations performed is presented below.

- (i) *Broadcast phase.* The source (BS) broadcasts the  $N_i$  information bits encoded with a CTC of rate  $R_c$  ( $N_c$  check bits) over the BS-UT and BS-RN<sub>i</sub> links.
- (ii) *Relaying phase.* The RNs perform the DFEC decoding, followed by the HIR-DFEC or IR-DFEC encoding. Then, the two RNs perform the DSFC encoding, that is, Alamouti scheme, described in Section 4.1, using the same QAM constellation, which might be different from the one employed during the broadcast phase.
- (iii) *Decoding at destination.* The destination performs the following operations:
  - (1) demodulates and extracts the LLRs of the  $N_i + N_c$  bits transmitted during the broadcast phase,
  - (2) extracts the symbols transmitted during relay phase by SFC-decoding and extracts the LLRs of the  $N_i + N_a$  (for HIR-DFEC) or  $N_a$  (for IR-FEC) received bits; note that the message received on the direct link, during the broadcast phase, is not used in this operation,
  - (3) combines the LLRs of the  $N_i$  bits received on both broadcast and relay phase (only for HIR-DFEC),
  - (4) reorders the LLRs of the received bits and performs the DFEC decoding.

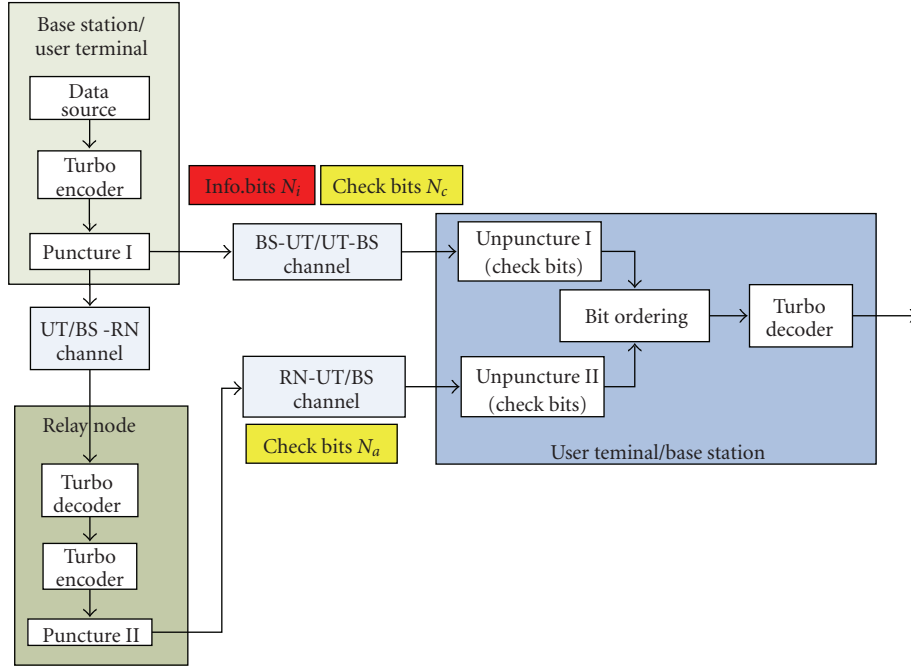


FIGURE 3: Block diagram of IR-DFEC algorithm that employs CTCs.

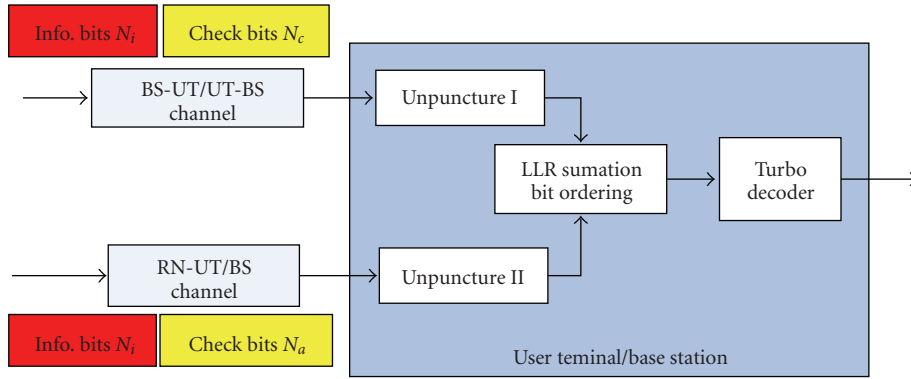


FIGURE 4: Hybrid-distributed turbo coding. Processing performed at destination.

**4.3. Some Considerations Regarding the Effects of the Errors on the Source-Relays Links.** The qualities of the source-relay links have a significant effect upon the global BER provided by the cooperative algorithms. In order to evaluate their effects upon the BER performance of the proposed algorithms, we assume that if the block received by the one of the RNs is decoded with errors, the RN re-encodes the decoded bits and apply the corresponding cooperation algorithm. Another option would be to make the RN stop transmitting any message and to signalize this fact to destination. But this second approach would require additional signaling and adaptive use of the cooperation algorithm at destination.

Denoting by  $PER_x$  the block error probability at destination provided by the algorithm  $x$ , if the messages transmitted by RNs are correct, and by  $PER_{S-R1}$  and  $PER_{S-R2}$  the block error probabilities after the  $RN_i$  decoding, the global block

error probability of the whole cooperative algorithm,  $PER_{gx}$ , can be expressed using the probability of a correct decoding at destination  $P_{cgx}$ , by

$$\begin{aligned}
 P_{cgx} &= (1 - PER_x) \cdot (1 - PER_{S-R1}) \cdot (1 - PER_{S-R2}) \\
 &\approx (1 - PER_x) \cdot (1 - PER_{S-R1} - PER_{S-R2}) \Rightarrow \\
 PER_{gx} &= PER_x + PER_{S-R1} + PER_{S-R2} \\
 &\quad - PER_x \cdot (PER_{S-R1} + PER_{S-R2}).
 \end{aligned} \tag{7}$$

The values of  $PER_{S-R1,2}$  and  $BER_{S-R1,2}$  differ for the two ways of transmission. For the DL connection, due to the higher BS antenna and to the possibility to employ RNs that have Line Of Sight (LOS) channels to the BS, the two  $PER_{S-Ri}$ , and the corresponding  $BER_{S-Ri}$ , can be considered negligible and the global PER is established by

the  $PER_x$  provided by the cooperative algorithm  $x$  at the UT. For the UL connection, the error probabilities of the UT-RN<sub>i</sub> links cannot be neglected and the global  $PER_{gx}$  and  $BER_{gx}$  are dictated by both the cooperative decoding in the BS (destination), that is,  $PER_{gx}$  and  $BER_x$ , and by the error probabilities of the UT-RN<sub>i</sub> links, that is,  $PER_{S-Ri}$  and  $BER_{S-Ri}$ . Therefore, the  $BER_{gx}$  and  $PER_{gx}$  are expected to be greater on the UL than on the DL connection, in such a topology.

## 5. Computation of the Spectral Efficiency Provided by the Proposed Algorithms

The spectral efficiency is one of the main criteria used to select the appropriate cooperative transmission algorithm. The spectral efficiency  $B_x$  provided by the cooperative algorithm  $x$  is expressed by (8) in terms of the nominal bit rate  $D_{n-x}$ , bit error probability  $BER_x$ , which define the throughput  $\Theta_x$ , and the employed bandwidth  $B_x$

$$\beta_x = \frac{D_{n-x}}{B_x} \cdot \left(1 - BER_x\left(\frac{E_b}{N_0}\right)\right) = \frac{\Theta_x}{B_x}. \quad (8)$$

The nominal bit rate and the bandwidth are dependent on the cooperation algorithm's structure and the parameters of the transmission scheme. The bit error probability is expressed in terms of an equivalent  $E_b/N_0$  at the decoder's input, which includes the values of the  $E_b/N_0$  on the source-destination and RN-destination channels. This equivalent  $E_b/N_0$  depends on the cooperation algorithm and on the combining method employed.

**5.1. Spectral Efficiency of the IR-DFEC Algorithm.** We consider that during the broadcast phase the number of bits/QAM symbol is  $n_d$ , while during the relaying phase it is  $n_r$ . Then, the number  $N_{sQ}$  of QAM symbols required to transmit the messages during the two cooperation phases is computed using the considerations of Section 4.2.1 and is expressed by

$$N_{sQ} = \frac{N_i}{R_c \cdot n_d} + \frac{N_i \cdot (1 - R_a)}{R_a \cdot n_r}; \Rightarrow \quad (9)$$

$$N_{sQ} = \frac{N_i}{R_c \cdot n_d} \cdot \left(1 + \frac{n_d}{n_r} \cdot (1 - R_c)\right) \quad \text{for } R_c = R_a.$$

We assume that the  $N_{sQ}$  symbols are transmitted in an OFDM system that has  $S$  subcarriers and  $E$  OFDM-symbol periods per resource allocation unit, with an  $f_s$  separation frequency between subcarriers and a guard interval of  $g\%$  of the symbol period. Considering further that the nominal bit rate is obtained by dividing the number of information bits  $N_i$  to the time required to transmit all coded bits and that the bandwidth occupied equals  $B = f_s \cdot S$  the spectral efficiency provided by this algorithm is given by, as shown in [28]

$$\beta_{IR-DFEC} = \frac{n_d \cdot R_c}{(1 + g) \cdot (1 + (n_d/n_r) \cdot (1 - R_c))} \cdot \left(1 - BER_{IR-DFEC}\left(\frac{E_b}{N_0}\right)\right). \quad (10)$$

The  $(1 + n_d/n_r)$  factor expresses the fact that during the two phases different QAM constellations are used, while  $(1 - R_c)$  indicates that in the relaying phase only a fraction of the first message's length is transmitted.

**5.2. Spectral Efficiency of the HIR-DFEC Algorithm.** Assuming again that during the broadcast phase, the number of bits/QAM symbol is  $n_d$ , and during the relaying phase, it is  $n_r$ , and using the considerations of Section 4.2.2, the number  $N_{sQ}$  of QAM symbols required transmitting the messages during the two cooperation phases equals

$$N_{sQ} = \frac{N_i}{R_c \cdot n_d} + \frac{N_i}{R_a \cdot n_r}; \Rightarrow N_{sQ} \quad (11)$$

$$= \frac{N_i}{R_c \cdot n_d} \cdot \left(1 + \frac{n_d}{n_r}\right) \quad \text{for } R_c = R_a.$$

Then, using a similar reasoning as above, the spectral efficiency of the transmission that employs HIR-DFEC is expressed by

$$\beta_{HIR-DFEC} = \frac{n_d \cdot R_c}{(1 + g) \cdot (1 + n_d/n_r)} \cdot \left(1 - BER_{HIR-DFEC}\left(\frac{E_b}{N_0}\right)\right). \quad (12)$$

The nominal spectral efficiency of the IR-DFEC is greater than the one of HIR-DFEC due to the smaller number of additional bits transmitted during the relaying phase. Nevertheless, the spectral efficiency is also influenced by BER, which should be smaller for the HIR-DFEC.

**5.3. Spectral Efficiency of the DSFC Algorithms.** The spectral efficiency of the s-DSFC algorithm could be derived by using the same reasoning as for the HIR-DFEC algorithm. The spectral efficiency  $\beta_{s-DSFC}$  has expressions similar to (12), in which the bit error rate should be the one provided by this algorithm, that is,  $BER_{s-DSFC}$ .

For the c-DSFC algorithm, since the combining is performed at QAM symbol-level, the two phases of cooperation should employ the same number  $n_d$  of bits/QAM symbol. The spectral efficiency  $\beta_{c-DSFC}$  of this algorithm can be computed using (13), where  $R_c$  denotes the coding rate of the FEC used

$$\beta_{c-DSFC} = \frac{n_d \cdot R_c}{(1 + g) \cdot 2} \cdot \left(1 - BER_{DSFC}\left(\frac{E_b}{N_0}\right)\right). \quad (13)$$

**5.4. Spectral Efficiency of the Combined DSFC+DFEC Algorithms.** Since the two combined DSFC-DFEC algorithms are obtained superimposing the s-DSFC over the IR-DFEC or HIR-DFEC algorithms, their spectral efficiencies should be computed using (10) for DSFC+IR-DFEC and (12) for the DSFC+HIR-DFEC. In these relations, the BER used should be the one provided by the respective combined algorithm.

## 6. Performance Evaluation of the DSFC and DFEC Cooperation Algorithms

This section presents a comparative performance evaluation of the coded cooperative algorithms described in the

previous section. The performances are evaluated in the assumption that the RNs are perfectly synchronized and that perfect Channel State Information (CSI) is available in all network nodes.

**6.1. Performance Metrics and Simulation Scenarios.** The performance metrics employed are the BER and the spectral efficiency. The global BER of the studied algorithms is obtained by computer simulations, while the spectral efficiency is obtained by computation using the relations presented in Section 5. Both performance metrics provided by each algorithm are evaluated in terms of  $E_b/N_0$  of the direct BS-UT link, while the  $E_b/N_0$  of the other links are equal to, or greater with a constant value than the current value of  $E_b/N_0$  of the direct link.

The channel model employed on all links is briefly summarized below:

- (i) propagation loss with a path loss exponent of 2,
- (ii) multipath propagation power delay profile: ITU-T pedestrian B,
- (iii) quasistatic Rayleigh small scale fading,
- (iv) the additive noise is complex Gaussian noise with zero mean value (AWGN).

The broadcast phase uses QPSK, while the relaying phase uses either QPSK or 16 QAM or 64 QAM. The channel codes employed by the DFEC algorithms are obtained by puncturing a mother turbo code of rate  $R_m = 1/3$  defined in [27], generated by the feedback polynomial 13<sub>8</sub> and the feedforward polynomial 15<sub>8</sub>. For the c-DSFC algorithm, the FEC code employed has a rate  $R_c = 0.5$ . The coded block is 7200-bit long, with 3600 information bits. The IR-DFEC and HIR-DFEC transmissions use additionally a group of 3600 check bits computed and transmitted by the RN (or RNs), the global coding rate being  $R_g = 1/3$ .

The scenarios selected for performance evaluation consider the cooperative topologies with two RNs and with one RN (see Figure 1) and are described below; they are meant to point out the differences between the performances provided in the DL and UL connections. The relations between the  $E_b/N_0$  values of the component channels are presented in Table 1.

- (1) *Scenario D1* is defined for the DL connection; it considers that the qualities of the  $RN_i$ -UT links are comparable to the one of the direct BS-UT link, while the BS- $RN_i$  links have better qualities due to the higher BS antenna and appropriate selection of the fixed and dedicated RNs.
- (2) *Scenario D2* is also defined for the DL connection, but it is an asymmetrical one which considers that one of the  $RN_i$ -UT links has better quality than the direct BS-UT link. This scenario describes a situation when one of the relays could be better positioned relatively to the destination. The same scenario could be employed for the topology with one RN, as well.

- (3) *Scenario U*, is defined to point out the effects of potential shadowing that might affect the UT- $RN_i$  transmissions, upon the UL cooperative connection. Therefore, the  $E_b/N_0$  values of both UT- $RN_i$  channels (*Scenario U1*), or the  $E_b/N_0$  value of one of these channels (*Scenario U2*), were set to be smaller than that the one of the  $RN_i$ -BS channels.

In all scenarios, the DFEC algorithms (using one RN) employ the UT- $RN_i$  link that has the highest  $E_b/N_0$ .

**6.2. BER Performances on the Downlink Connection.** The BER provided by the studied algorithms in the DL connection within scenarios D1 and D2 are shown in Figures 5 and 6. These results, obtained from extensive computer simulations, lead to the following conclusions.

- (1) The c-DSFC cooperative algorithm, using the signal received on the direct BS-UT link in the combined MRC decoding, ensures a significantly smaller BER (see Figure 5). This can be explained by the greater equivalent SNR, see (1), provided by the use of the BS-UT signal in the combining process at destination.

The increase of the  $E_b/N_0$  of the  $RN_i$ -UT links, scenario D2, leads to a small influence of the direct link for low values of the reference  $E_b/N_0$ , while for greater values of the reference  $E_b/N_0$  the influence of the direct link increases, as results from comparing the c-DSFC and s-DSFC curves between  $(-4; 0)$  dB and above 2 dB, respectively, in Figure 6. This behavior is explained by (1).

There should also be noted the decrease of the BER provided by the s-DSFC algorithm in scenario D2, compared to scenario D1, due to the increased equivalent SNR, see (2).

- (2) The DFEC algorithms, when are not combined with DSFC, provide poorer BER performance than the c-DSFC algorithm, and in some cases (see Figure 6) poorer performances than the simple DSFC. This is mainly explained by three facts:

- (i) DFEC algorithms employ only one RN, so there is no diversity for the  $N_c$  and  $N_a$  check bits (see Figures 3 and 4), while for the  $N_i$  information bits only the HIR-DFEC provides diversity,
- (ii) the turbo-decoder “combines” the LLRs of all received bits, providing only a coding gain,
- (iii) the LLRs of the bits within a coded block have different levels of reliability since they are obtained from two different links, with different  $E_b/N_0$ , and by different processing.

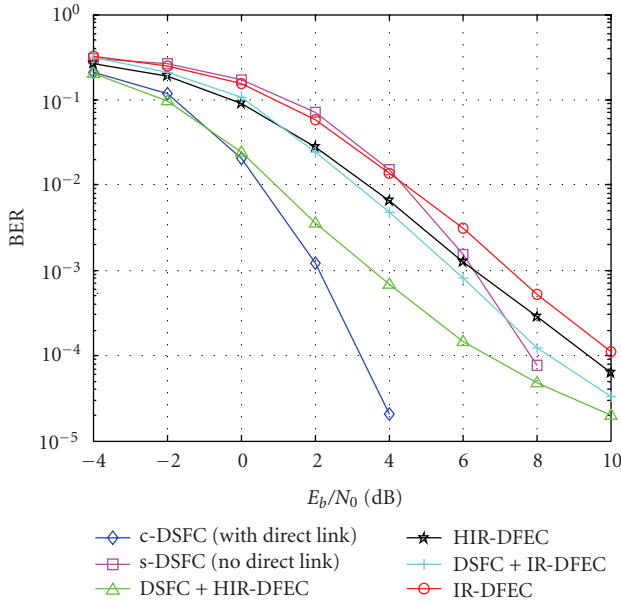
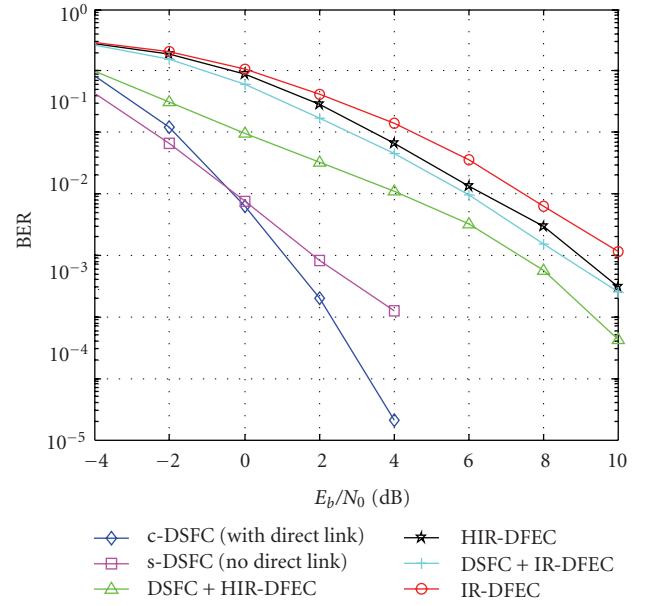
As expected, HIR-DFEC ensures smaller BER than IR-DFEC. A comparison between Figures 5 and 6 shows that the improvement of the  $RN_i$ -UT links does not bring significant decrease of the BER provided by the DFEC algorithms.

- (3) The use of s-DSFC algorithm in the relaying phase of the DFEC algorithms; that is, s-DSFC+IR-DFEC or HIR-DFEC leads to a significant improvement of their BER performances. The s-DSFC provides diversity for the bits transmitted during the relaying phase, that is,  $N_a$  or  $N_i + N_a$ , which is transformed by the bit-level combining and



TABLE 1: Relation between the  $E_b/N_0$  link parameters of the defined test scenarios.

Scenario	Source-destination	Source-RN1	Source-RN2	RN1-destination	RN2-destination
D1	$E_b/N_0$	$E_b/N_0 + 10$ dB	$E_b/N_0 + 10$ dB	$E_b/N_0$	$E_b/N_0$
D2	$E_b/N_0$	$E_b/N_0 + 10$ dB	$E_b/N_0 + 10$ dB	$E_b/N_0 + 10$ dB	$E_b/N_0$
U1	$E_b/N_0$	$E_b/N_0$	$E_b/N_0$	$E_b/N_0 + 10$ dB	$E_b/N_0 + 10$ dB
U2	$E_b/N_0$	$E_b/N_0 + 10$ dB	$E_b/N_0$	$\frac{E_b}{N_0} + 10$ dB	$E_b/N_0 + 10$ dB

FIGURE 5: BER versus  $E_b/N_0$ -directlink; DSFC, DFEC, and DSFC+DFEC algorithms—scenario D1.FIGURE 6: BER versus  $E_b/N_0$ -directlink; DSFC, DFEC, and DSFC+DFEC algorithms—scenario D2.

by the turbodecoder into an additional coding gain. The improvement of the  $RN_i$ -UT links in scenario D2 (see Figure 6) brings no significant variation of the BER provided by these algorithms compared to the ones of Figure 5. This could be explained by the fact that only a part of the coded bits are transmitted on the better  $RN_i$ -UT channels, and their more reliable LLRs do not improve significantly the performance of the FEC turbo decoding process.

The BER performances of the combined algorithms are still poorer than the ones of c-DSFC because the use of s-DSFC improves only the quality of the  $N_a$  (IR) or  $N_i$  and  $N_a$  (HIR) received LLRs. Still, due to the bit-level combining and especially to the effects of the poorly received  $N_c$  bits, the coding gain brought is smaller than the diversity gain provided by the c-DSFBC algorithm, which uses the direct link.

**6.3. BER Performances on the Uplink Connection.** The BER performances provided by the studied cooperative algorithms within scenario U1 are presented in Figure 7 and lead to the following conclusions.

(1) The c-DSFC algorithm provides lower BER than s-DSFC, due to the same reasons as for the DL connection. Nevertheless, the values of BER provided by the two algorithms for the same  $E_b/N_0$  values of the component channels

are significantly greater than the ones provided in the D1 scenario, see Figure 5. These poorer performances are due to the worse source (UT)- $RN_i$  links and could be explained by using relation (7), where probabilities  $P_{S-R1}$  and  $P_{S-R2}$  are no longer negligible and so the global  $BER_g$  and  $PER_g$  are not depending only on the  $BER_x$  (or  $PER_x$ ) provided by the DSFC decoder at destination. Another effect of the errors on the UT- $RN_i$  links is the decrease of the diversity gain, expressed by a smaller slope of the BER versus  $E_b/N_0$  curves of these algorithms.

(2) The DFEC algorithms provide BER values that are comparable to the ones of the s-DSFC, but greater than the ones of the c-DSFC. The differences in the BER performances are significantly smaller than in the downlink case.

(3) The combination of the DFEC techniques with the s-DSFC leads to lower BER values, due to the same reasons as in the DL case, but the performance improvement brought by this combination is significantly smaller than in the DL connection, due to the poorer source (UT)- $RN_i$  links. The improvement of the UT- $RN_2$  channel, scenario U2, leads to slightly smaller BER values for all algorithms than the ones provided in scenario U1, as results from the comparison between Figure 7 and Figure 8. The major characteristic of

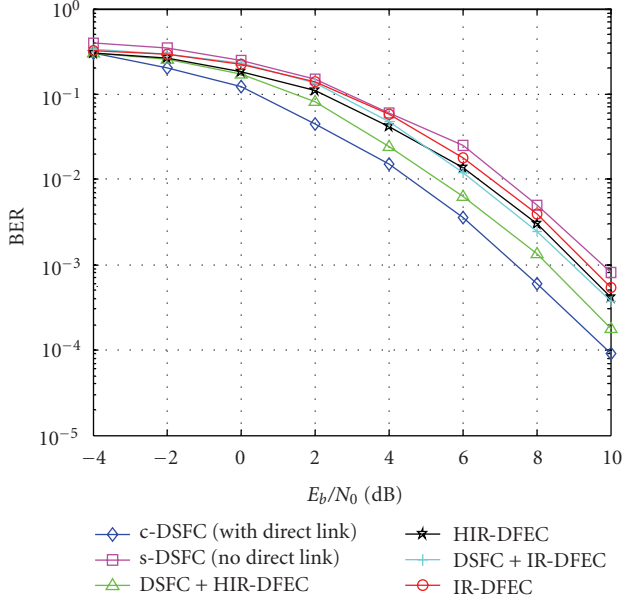


FIGURE 7: BER versus  $E_b/N_{0\text{-directlink}}$ ; DSFC, DFEC, and DSFC+DFEC algorithms—scenario U1.

this scenario is that the DFEC algorithms provide better performances if they are not combined with s-DSFC. This is because they need to use only the good UT-RN<sub>2</sub> link during the relaying phase, while the s-DSFC employs both UT-RN<sub>i</sub> links, out of which one is of poor quality. The BER increase can be explained by using (7).

The main conclusion is that in the UL connection, the insertion of the s-DSFC in the DFEC algorithms is beneficial only if the two UT-RN<sub>i</sub> links have about the same quality; otherwise, the DFEC algorithms used alone could provide smaller BER, because they could employ only the best UT-RN<sub>i</sub> link.

**6.4. Spectral Efficiency Performances.** The spectral efficiency performances of the studied algorithms were evaluated only for the DL connection, since according to (10), (12), and (13), the only factor differing for the two ways of transmission is the bit error rate, which was analyzed in the previous section. Figure 9 shows the spectral efficiencies computed for the studied algorithms in the D1 scenario when  $n_r = n_d = 2$ . The main conclusions drawn are the following.

- (i) The two algorithms that use the IR-DFEC (combined or not with s-DSFC) provide the highest spectral efficiencies due to the small redundancy inserted, see (10). The HIR-DFEC-based algorithms provide smaller spectral efficiencies due to their greater redundancy during the relaying phase, which cannot be compensated by the smaller BER provided, see (12). The flat parts (zones) of the curves exhibited by DFEC algorithms are extended with approximately 2 dB by combining them with the s-DSFC algorithm.

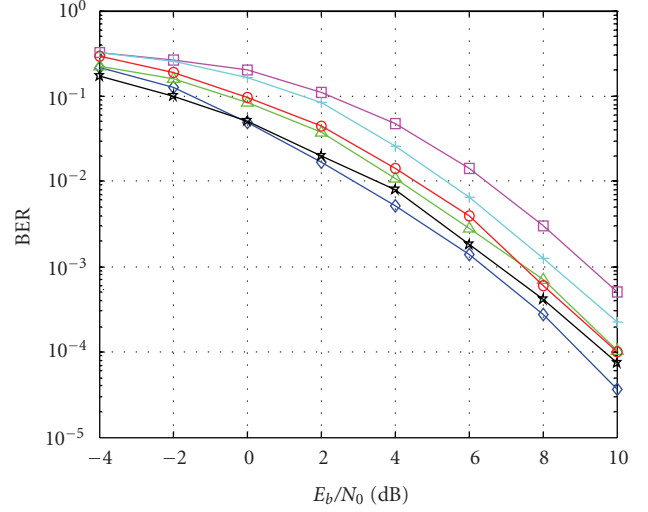


FIGURE 8: BER versus  $E_b/N_{0\text{-directlink}}$ ; DSFC, DFEC, and DSFC+DFEC algorithms—scenario U2.

- (ii) The c-DSFC algorithm ensures about the same spectral efficiency as the HIR-DFEC algorithm, because they both transmit about the same redundancy, see (13) and (12), while the differences in BER are not big enough to affect significantly the spectral efficiency. The s-DSFC provides a narrower flat zone, due to the greater  $E_b/N_0$  needed to ensure a negligible BER, for example,  $10^3$ , as shown in Figure 5.

Concluding, the IR-DFEC algorithm provides the greatest spectral efficiency and should be preferred for applications where the target BER is not set to small values, while the c-DSFC should be used in applications which require small BER values.

The spectral efficiencies of all algorithms described above, except for the c-DSFC, can be increased by using a higher modulation on the RN<sub>i</sub>-destination link(s) during the relaying phase, as results by increasing  $n_r$  in (10) or (12). For a fair comparison, the increase of  $n_r$  requires that the higher constellation should ensure the same BER on the RN<sub>i</sub>-UT links as the one ensured by QPSK. This would require an  $E_b/N_0$  increased with 4 dB for 16 QAM ( $n_r = 4$ ) and with 8.3 dB for 64 QAM ( $n_r = 6$ ). Such an improvement of the RN-UT channels could be accomplished either by changing the positions of the two RNs or by increasing the RN's transmitted power or by both. The spectral efficiencies provided by the studied algorithms versus  $E_b/N_{0\text{-direct}}$  within the D1 scenario modified according to the above values, are presented in Figures 10 and 11. The figures also present the spectral efficiency provided by c-DSFC algorithm for  $n_r = n_d = 2$  and  $E_b/N_{0\text{-direct}} = E_b/N_{0\text{-RN-UT}}$ , as reference.

The major difference between Figures 10 and 11 and the curves of Figure 9 lies in the greater values of the spectral efficiencies in the flat zones, due to the higher  $n_r$  which ensures about the same BER. Compared to the spectral

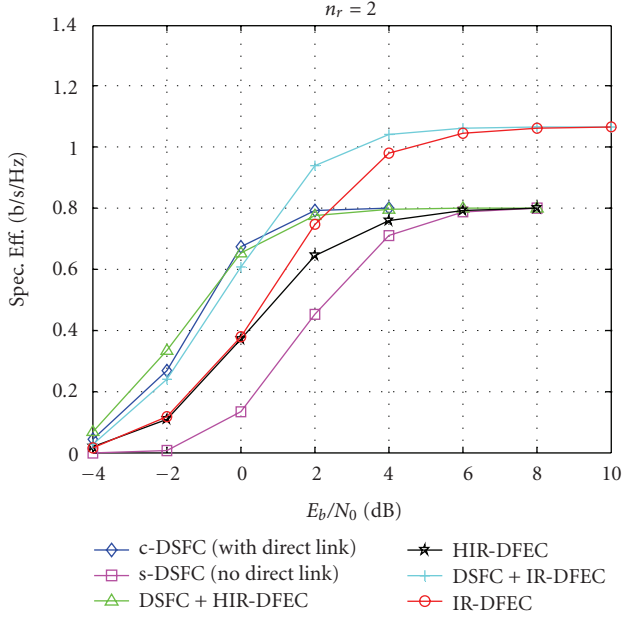


FIGURE 9: Spectral efficiency versus  $E_b/N_{0,\text{direct}}$ —scenario D1— $n_d = n_r = 2$ .

efficiency of c-DSFC with  $n_d = n_r = 2$ , the spectral efficiency of IR-DFEC-based algorithms is increased in the flat zones by a factor  $F_{\text{IR-DFEC}}$  expressed by (14a). The values of  $F_{\text{IR-DFEC}}$  equal 1.6 for  $n_d = 4$  and 1.72 for  $n_d = 6$ . A similar factor for the algorithms that use the HIR-DFEC, computed using (12) and (13) is expressed by (14b); it equals 1.33 for  $n_d = 4$  and 1.5 for  $n_d = 6$

$$F_{\text{IR-DFEC}} = \frac{\lim_{\text{BER} \rightarrow 0} \beta_{\text{IR-DFEC}}}{\lim_{\text{BER} \rightarrow 0} \beta_{\text{c-DSFC}}} = \frac{2}{1 + (n_d/n_r) \cdot (1 - R_c)}, \quad (14a)$$

$$F_{\text{HIR-DFEC}} = \frac{\lim_{\text{BER} \rightarrow 0} \beta_{\text{HIR-DFEC}}}{\lim_{\text{BER} \rightarrow 0} \beta_{\text{c-DSFC}}} = \frac{2}{1 + (n_d/n_r)}. \quad (14b)$$

The spectral efficiencies provided by these cooperative algorithms in the D2 scenario are presented in Figure 12, for  $n_d = n_r = 2$ . The significant extension of the flat zone of the s-DSFC algorithm, compared to Figure 9, can be explained by its significantly smaller BER (see Figure 6) though the maximum value of its spectral efficiency has not changed, see (12). The rest of the algorithms exhibit similar performances to the ones provided for poorer  $\text{RN}_i$ -UT channels of scenario D1, Figure 9, but their flat zones are slightly extended due to the better  $\text{RN}_i$ -UT channel available in this scenario.

Finally, Figures 13 and 14 show the spectral efficiencies provided in the modified D2 scenarios defined above, when higher order modulations, that is,  $n_r = 4$  and  $n_r = 6$ , are used in the relaying phase. Due to the employment of a larger constellation on the  $\text{RN}_i$ -UT links with correspondingly increased  $E_b/N_0$ , the values of the spectral efficiencies of all algorithms are greater than the ones of Figure 12, except for c-DSFC algorithm which should use  $n_r = n_d = 2$ .

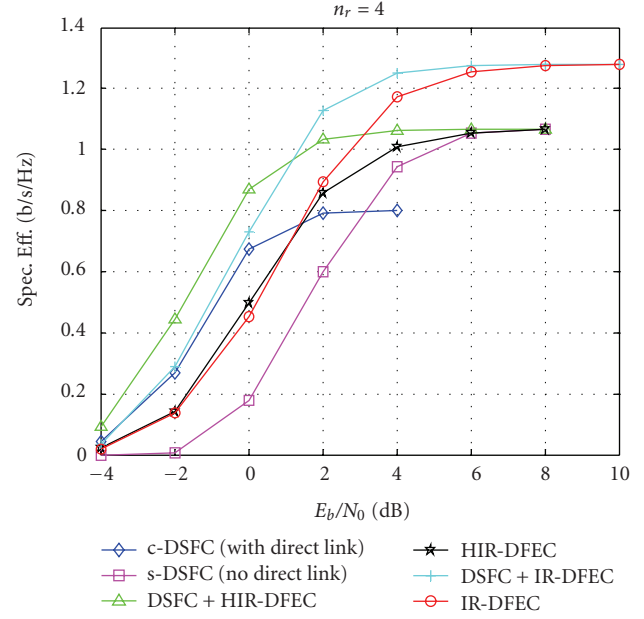


FIGURE 10: Spectral efficiency versus  $E_b/N_{0,\text{direct}}$ —modified D1— $n_d = 2, n_r = 4$ .

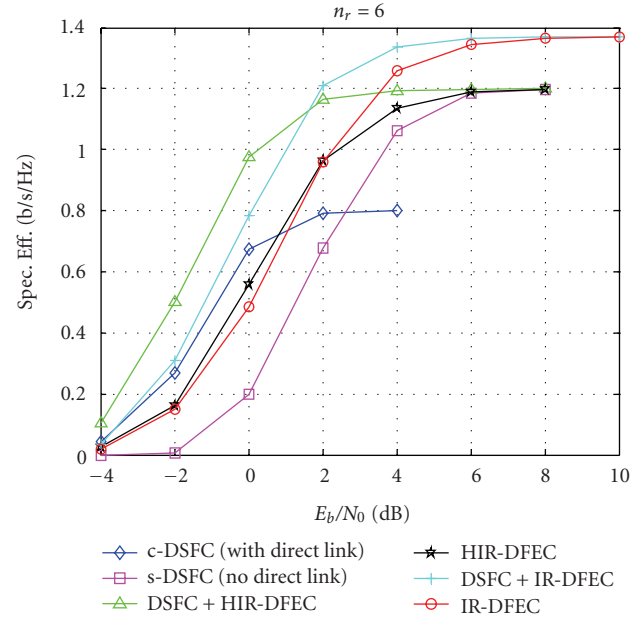


FIGURE 11: Spectral efficiency versus  $E_b/N_{0,\text{direct}}$ —modified D1— $n_d = 2, n_r = 6$ .

The spectral efficiencies provided by these algorithms in UL connections, scenarios U1 and U2, have similar behaviors in terms of the  $E_b/N_0$  of the direct link, but their flat zones are narrower than the corresponding ones in the DL, due to the greater BER values occurring in the uplink, see Section 6.3.

## 7. Conclusions

This paper has studied the BER and spectral efficiency performances provided in some relevant DL and UL scenarios

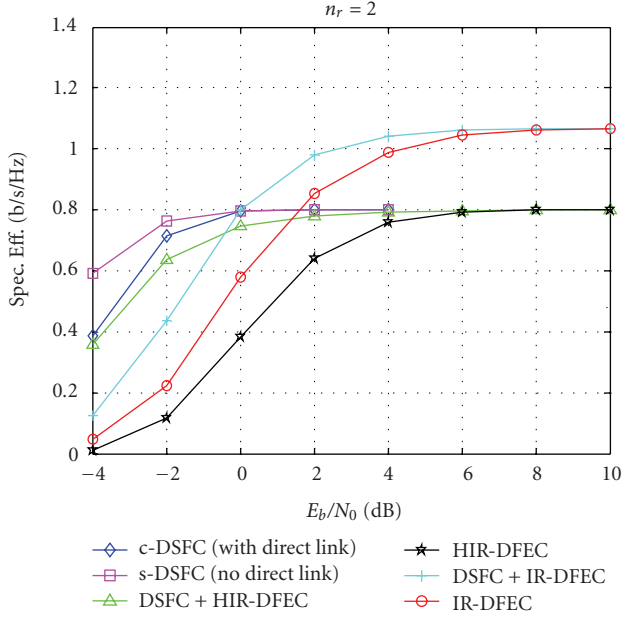


FIGURE 12: Spectral efficiency versus  $E_b/N_{0\text{-directlink}}$ —scenario D2— $n_d = n_r = 2$ .

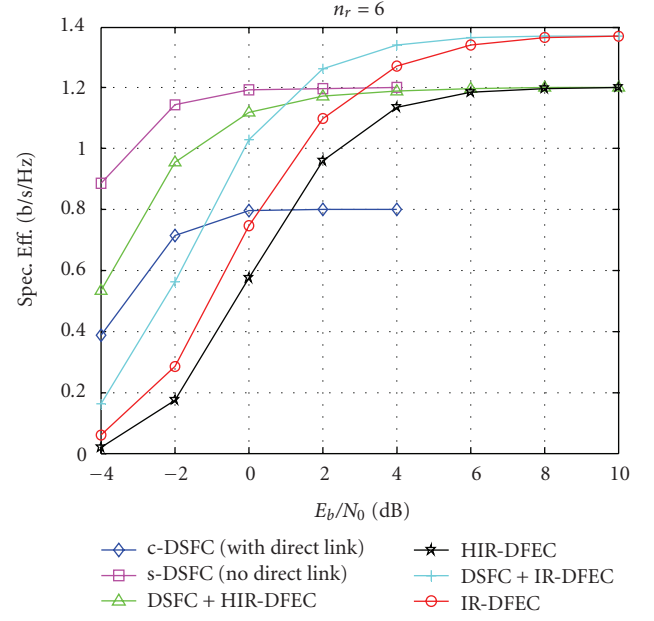


FIGURE 14: Spectral efficiency versus  $E_b/N_{0\text{-direct}}$ —modified D2— $n_d = 2, n_r = 6$ .

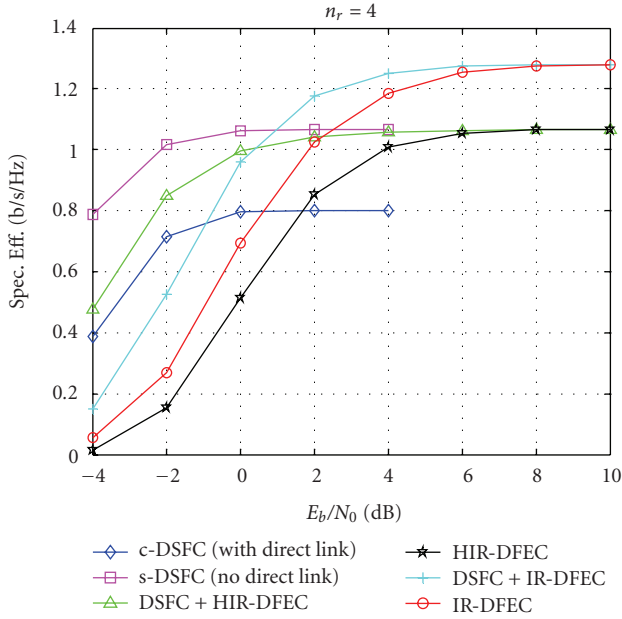


FIGURE 13: Spectral efficiency versus  $E_b/N_{0\text{-direct}}$ —modified D2— $n_d = 2, n_r = 4$ .

by two algorithms that employ in a joint manner the DSFC and DFEC cooperation algorithms. Their performances were compared to the ones provided by the constituent DSFC and, respectively, DFEC algorithms used independently. The combination of these two types of cooperation algorithms exploits both the flexibility of DFEC and the diversity provided by DSFC, while simplifying the relay assignment issue. The diversity provided by DSFC on the RN-destination links allows the placement of the RNs closer to the source and

so the source-RN transmissions would not require the high redundancy of small-rate channel codes. The use of DFEC algorithms involves the direct link that brings an extra coding gain to compensate the decrease of the RN-destination link's quality due to the positioning of the relay closer to the source.

The results obtained in scenarios with good source-RN and relatively poor RN-destination channels, that is, scenario D1, show that combined s-DSFC+DFEC algorithms could provide the best tradeoff between BER and spectral efficiency performances. This tradeoff could be “fine-tuned” by the amount of redundancy employed in the relaying phase of cooperation, by using either the HIR-DFEC algorithm that provides lower BER values, or the IR-DFEC one, which provides the greatest spectral efficiency. Even if the c-DSFC algorithm, which uses the direct link at destination, provides the smallest BER out of all studied algorithms in all scenarios considered, its spectral efficiency and link-adaptation flexibility are small due to the combining at symbol level, which in its turn provides a greater diversity gain. If the RN-destination link's quality could be improved by relay-assignment while still ensuring good source-RN links, for example, scenario D2, the s-DSFC algorithm is the best choice considering both BER and spectral efficiency performances, but the greatest spectral efficiency could be provided by IR-DFEC algorithms.

For scenarios with poor source-RN links, for example, scenarios U1 and U2, all DFEC algorithms analyzed present comparable BER performances to the ones of the c-DSFC algorithm. In such scenarios, the s-DSFC+DFEC or even only DFEC algorithms are the best choice if both BER and spectral efficiency performances and system flexibility are to be taken into account.



The results obtained also indicate how these cooperative algorithms should be used adaptively to match the performance requirements (BER and spectral efficiency) of various services. For highly interactive applications which require low or very low BER values and not a great spectral efficiency, for example, video conferences, the best option would be the c-DSFC, due to its symbol-level combining. For widely used applications requiring relatively low BER, for example, audio and video streaming, the s-DSFC+HIR-DFEC algorithm is one of the best options, since it ensures a relatively low BER and a high spectral efficiency. For popular applications that accept higher BER values, for example, telephony or messaging, the IR-DFEC combined with s-DSFC on the  $RN_i$ -destination links would be advisable, since it ensures the highest spectral efficiency, which is an important factor for this type of services.

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## Preliminary call for papers

The 2011 European Signal Processing Conference (EUSIPCO-2011) is the nineteenth in a series of conferences promoted by the European Association for Signal Processing (EURASIP, [www.eurasip.org](http://www.eurasip.org)). This year edition will take place in Barcelona, capital city of Catalonia (Spain), and will be jointly organized by the Centre Tecnològic de Telecomunicacions de Catalunya (CTTC) and the Universitat Politècnica de Catalunya (UPC).

EUSIPCO-2011 will focus on key aspects of signal processing theory and applications as listed below. Acceptance of submissions will be based on quality, relevance and originality. Accepted papers will be published in the EUSIPCO proceedings and presented during the conference. Paper submissions, proposals for tutorials and proposals for special sessions are invited in, but not limited to, the following areas of interest.

## Areas of Interest

- Audio and electro-acoustics.
- Design, implementation, and applications of signal processing systems.
- Multimedia signal processing and coding.
- Image and multidimensional signal processing.
- Signal detection and estimation.
- Sensor array and multi-channel signal processing.
- Sensor fusion in networked systems.
- Signal processing for communications.
- Medical imaging and image analysis.
- Non-stationary, non-linear and non-Gaussian signal processing.

## Submissions

Procedures to submit a paper and proposals for special sessions and tutorials will be detailed at [www.eusipco2011.org](http://www.eusipco2011.org). Submitted papers must be camera-ready, no more than 5 pages long, and conforming to the standard specified on the EUSIPCO 2011 web site. First authors who are registered students can participate in the best student paper competition.

## Important Deadlines:



Proposals for special sessions	15 Dec 2010
Proposals for tutorials	18 Feb 2011
<b>Electronic submission of full papers</b>	<b>21 Feb 2011</b>
Notification of acceptance	23 May 2011
Submission of camera-ready papers	6 Jun 2011

Webpage: [www.eusipco2011.org](http://www.eusipco2011.org)

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